

## Exercise Set 4

**Exercise 4.1.** For a finite set  $\emptyset \neq T \subsetneq \mathbb{R}^2$  we define

$$\text{BB}(T) := \max_{(x,y) \in T} x - \min_{(x,y) \in T} x + \max_{(x,y) \in T} y - \min_{(x,y) \in T} y.$$

A *Steiner tree* for  $T$  is a tree  $Y$  with  $T \subseteq V(Y) \subsetneq \mathbb{R}^2$ . We denote by  $\text{Steiner}(T)$  the length of a shortest rectilinear (i.e. edge lengths acc. to  $\ell_1$ ) Steiner tree for  $T$ . Moreover let  $\text{MST}(T)$  be the length of a minimum spanning tree in the complete graph on  $T$  with edge costs  $\ell_1$ .

Prove that:

- (a)  $\text{BB}(T) \leq \text{Steiner}(T) \leq \text{MST}(T)$ ;
- (b)  $\text{Steiner}(T) \leq \frac{3}{2} \text{BB}(T)$  for  $|T| \leq 5$ ;
- (c) There is no  $\alpha \in \mathbb{R}$  s.t.  $\text{Steiner}(T) \leq \alpha \text{BB}(T)$  for all finite  $\emptyset \neq T \subset \mathbb{R}^2$ .

(2 + 3 + 2 points)

**Exercise 4.2.** Let  $(M, d)$  be a metric space. For  $n \in \mathbb{N}_{\geq 2}$  we define the Steiner ratios

$$\text{SR}(M, n) := \sup_{P=\{p_1, \dots, p_n\} \subseteq M} \frac{\text{MST}(P)}{\text{STEINER}(P)},$$

where  $\text{STEINER}(P)$  denotes the length of a minimum Steiner tree connecting all points in  $P$  and  $\text{MST}(P)$  denotes the size of a minimum spanning tree connecting all points in  $P$ .

- (a) Let  $(M, d) = (\mathbb{R}^2, d)$  with  $d(x, y) := \|x - y\|_2$ . Show that there is a  $n \in \mathbb{N}_{\geq 2}$ , such that  $\text{SR}(M, n) \geq 2/\sqrt{3}$ .
- (b) Let  $(M, d)$  be an arbitrary metric space and let  $n \in \mathbb{N}_{\geq 2}$ . Show that  $\text{SR}(M, n) \leq 2(1 - 1/n)$ .

(2 + 3 points)

**Exercise 4.3.** Let  $(G, c, T)$  be an instance of the STEINER TREE PROBLEM,  $G$  connected,  $t \in T$  a terminal and  $k \in \mathbb{N}$  with  $k \geq 1$ .

For each of the following functions  $V(G) \times 2^T \rightarrow \mathbb{R}_{\geq 0}$  decide whether it defines a valid lower bound for instances of the RECTILINEAR STEINER TREE PROBLEM and prove your statement.

- (a) For two valid lower bounds  $lb_a$  and  $lb_b$ , define  $\max(lb_a, lb_b)$  by

$$\max(lb_a, lb_b)(v, I) := \max(lb_a(v, I), lb_b(v, I)).$$

- (b) Define  $lb_{\text{BB}}(v, I) := \text{BB}(\{v\} \cup I)$ .

- (c) Define  $lb_{\text{mst}}(v, I) := \frac{\text{mst}(\{v\} \cup I)}{2}$ . Here  $\text{mst}(\{v\} \cup I)$  denotes the cost of a minimal spanning tree in  $G'[\{v\} \cup I]$ , where  $G'$  is the metric closure of  $G$ .

- (d) Define  $lb_k(v, I) := \max\{\text{smt}(J) \mid t \in J \subseteq I \cup \{v\}, |J| \leq k + 1\}$  if  $t \in I$  and  $lb_k(v, I) := 0$  otherwise.

(2 + 1 + 2 + 3 points)

**Deadline:** May 5, before the lecture. The websites for lecture and exercises can be found at:

[http://www.or.uni-bonn.de/lectures/ss22/chipss22\\_ex.html](http://www.or.uni-bonn.de/lectures/ss22/chipss22_ex.html)

In case of any questions feel free to contact me at [blankenburg@or.uni-bonn.de](mailto:blankenburg@or.uni-bonn.de).